



OFFICE of INSPECTOR GENERAL
NATIONAL RAILROAD PASSENGER CORPORATION

Via Electronic Mail

July 3, 2019

Ms. Emma Best
c/o MuckRock News
DEPT MR 75067
411A Highland Ave
Somerville, MA 02144-2516
75067-85801541@requests.muckrock.com

Re: Freedom of Information Act Request (Request ID 2019-OIG-016)

Dear Ms. Best:

Amtrak's Office of the Inspector General (OIG) received your June 10, 2019 request for information made under the Freedom of Information Act (FOIA) seeking, "*a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2017.*"

In response, our office searched for records responsive to your request and, as a result, we have provided you with a case list of all investigative cases that were closed in calendar year 2017, which includes both preliminary and full investigations, as well as both substantiated and unsubstantiated cases.

The case list provides relevant information pertaining to these investigative cases, such as the case number, case title, the date the case was opened/closed, and the general type of misconduct/allegation as related to each case. All reasonably segregable portions of this case list are enclosed, while redacting/withholding certain information (e.g., the names of certain subjects) pursuant to FOIA Exemption 7(C).

Exemption 7(C) recognizes that law enforcement records are inherently more invasive of privacy than "personnel and medical files and similar files." Named individuals have substantial interests in nondisclosure of their identities and connection to particular investigations. In fact, the case law has long recognized, either expressly or implicitly, that "'the mention of an individual's name in a law enforcement file will engender comment and speculation and carries a stigmatizing connotation.'"

You have the right to file an administrative appeal within 90 days of the date of this letter. By filing an appeal, you preserve your rights under FOIA and give the OIG a chance to

review and reconsider your request and the decision. A copy of your initial request, a copy of this letter, and your statement of circumstances, reasons, and arguments should accompany your letter of appeal. Please address your letter of appeal to:

National Railroad Passenger Corporation
Office of Inspector General
10 G Street, NE, 3W-300
Washington, D.C. 20001
ATTN: FOIA Appeal

If you would like to discuss our response before filing an appeal to attempt to resolve your dispute without going through the appeals process, you may contact our FOIA Public Liaison for assistance. If you are unable to resolve your FOIA dispute through our FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's office, offers mediation services to help resolve disputes.

We have not assessed any charges to you for processing this request. If you have any questions concerning this response to your request, please contact me.

Sincerely,



Nadine J. Bennett
Associate Counsel
National Railroad Passenger Corporation (Amtrak)
Office of Inspector General

Enclosure

Cases Closed CY2017				
Case Number	Case Title	Opened Date	Closing Date	Allegations
<u>DC-12-0146-O</u>	<u>Dynamic Pro LLC; et.al.</u>	2/14/2012	12/7/2017	Contract and Procurement- Conflict of Interest
<u>CA-12-0160-HL-I</u>		2/23/2012	11/16/2017	Health Care- Fraudulent Medical Billings
<u>CA-13-0150-O</u>	<u>Doyon Government Group</u>	1/31/2013	8/2/2017	Contract Fraud; Cost Mischarging/Defective Pricing/False Claims; False Statements
<u>PA-13-0157-O</u>	<u>Harper, Donald</u>	2/6/2013	9/27/2017	Major Misconduct- Child Pornography
<u>PA-13-0240-HL-O</u>		4/15/2013	9/20/2017	Major Misconduct- False T&A Records
<u>DC-13-0344-O</u>	<u>Okonite/Electrical</u>	7/3/2013	6/28/2017	Contract and Procurement- Bribery
<u>DC-13-0360-O</u>	<u>Wire and Cable Manufacturers</u>	7/22/2013	1/18/2017	Violation of Amtrak Policy
<u>IL-13-0422-O</u>	<u>Locking Abuse</u>		9/19/2013	Major Misconduct- Other Crimes on Amtrak Facilities
<u>IL-14-0148-O</u>			3/21/2017	FELA- False Medical Claims
<u>DC-14-0370-O</u>	<u>Sober Homes Drug Testing</u>	6/9/2014	7/5/2017	Health Care- Fraudulent Medical Billings
<u>MA-15-0122-O</u>	<u>Labor & Overhead Costs Mischarging- Amtrak Procurement Construction Group</u>	1/13/2015	1/10/2017	Cost Mischarging/Defective Pricing/False Claims; False Claims; Proactive/Project Cases
<u>IL-15-0169-O</u>		2/24/2015	3/2/2017	FELA- False Medical Claims
<u>PA-15-0252-O</u>		4/15/2015	8/31/2017	Major Misconduct- False T&A Records
<u>CA-15-0280-O</u>	<u>Aramark Corporation</u>	5/4/2015	1/23/2017	Contract Fraud
<u>PA-15-0287-O</u>	<u>Vogel, Richard</u>	5/7/2015	7/12/2017	Major Misconduct- False T&A Records
<u>PA-15-0298-O</u>	<u>Derailment Amtrak Train 188</u>	5/14/2015	9/18/2017	Major Misconduct- Accident Related Investigation
<u>IL-15-0363-O</u>	<u>- Theft</u>	6/25/2015	2/27/2017	Major Misconduct- Theft/Embezzlement
<u>CA-15-0377-O</u>		7/7/2015	4/7/2017	Contract and Procurement- Bribery; Contract and Procurement- Kickbacks
<u>DC-15-0408-HL-O</u>		7/22/2015	9/18/2017	Major Misconduct- Forgery Related to Amtrak Documents
<u>CA-15-0470-O</u>	<u>Holophane/Acuity Brands Lighting, Inc.; KlingStubbins, Inc.; Kemp Brothers Construction, Inc. and Jacobs Engineering</u>	9/2/2015	1/20/2017	Contract Fraud
<u>MA-16-0005-O</u>		10/2/2015	4/24/2017	Major Misconduct- GSA Fuel Card Fraud
<u>CA-16-0006-O</u>		10/2/2015	4/17/2017	FELA- False Medical Claims
<u>DC-16-0029-HL-O</u>		10/22/2015	3/2/2017	Major Misconduct- Conflict of Interest
<u>DC-16-0043-HL-O</u>		11/4/2015	7/5/2017	Major Misconduct- Theft/Embezzlement

<u>CA-16-0050-O</u>	Ashbury Environmental Services	11/9/2015	4/13/2017	Major Misconduct- Environmental Crimes
<u>DC-16-0056-HL-O</u>	[REDACTED]	11/17/2015	6/2/2017	Major Misconduct- Forgery Related to Amtrak Documents
<u>DC-16-0058-HL-O</u>	[REDACTED] - Violation of Amtrak Policy	11/17/2015	5/2/2017	Major Misconduct- Theft/Embezzlement
<u>IL-16-0059-P</u>	[REDACTED]	11/18/2015	7/10/2017	Major Misconduct- E-Voucher Fraud
<u>PA-16-0053-HL-O</u>	[REDACTED] Mike's Auto	11/23/2015	4/6/2017	Violation of Amtrak Policy
<u>PA-16-0109-O</u>	AC Equipment & Rentals	1/27/2016	11/27/2017	Contract and Procurement- False Claims
<u>IL-16-0124-HL-O</u>	Living Space Constructions	2/5/2016	7/17/2017	Contract and Procurement- Overbilling
<u>IL-16-0128-HL-P</u>	[REDACTED]	2/10/2016	4/18/2017	GSA Fuel Card Fraud; Violation of Amtrak Policy
<u>IL-16-0143-O</u>	[REDACTED]	2/22/2016	2/15/2017	Major Misconduct- Theft/Embezzlement
<u>IL-16-0145-O</u>	[REDACTED] <u>et.al.</u>	2/23/2016	11/13/2017	Major Misconduct- Drug Investigation
<u>HO-16-0161-O</u>	[REDACTED]	3/9/2016	3/23/2017	Major Misconduct- Accident Related Investigation
<u>IL-16-0166-O</u>	[REDACTED]	3/15/2016	7/6/2017	Violation of Amtrak Policy
<u>PA-16-0169-P</u>	[REDACTED] Offender	3/16/2016	1/18/2017	Drug Investigations; Violation of Amtrak Policy
<u>CA-16-0190-HL-O</u>	[REDACTED] Registered Sex	4/19/2016	8/28/2017	Major Misconduct- Failure to Disclose Criminal History
<u>IL-16-0210-O</u>	Davila, David	5/5/2016	10/27/2017	Major Misconduct- Theft/Embezzlement
<u>IL-16-0211-HL-O</u>	[REDACTED] Project Manager	5/5/2016	6/19/2017	Major Misconduct- Conflict of Interest
<u>PA-16-0223-O</u>	Case Foundation Company	5/12/2016	6/20/2017	Contract and Procurement- Overbilling
<u>PA-16-0239-Q</u>	[REDACTED]	5/24/2016	12/6/2017	FELA- False Medical Claims
<u>IL-16-0249-O</u>	[REDACTED] Proactive - Unreported DUI or other Convictions-Nationwide	5/31/2016	11/7/2017	Major Misconduct- Failure to Disclose Criminal History
<u>PA-16-0250-O</u>	[REDACTED]	5/31/2016	4/26/2017	Major Misconduct- Conflict of Interest
<u>DC-16-0265-HL-O</u>	Sohama Culberson	5/7/2016	7/10/2017	Major Misconduct- Theft/Embezzlement
<u>HO-16-0272-O</u>	[REDACTED] Unauthorized Amtrak Funds	6/8/2016	2/8/2017	Major Misconduct- Pornography
<u>CA-16-0285-HL-P</u>	[REDACTED]	6/20/2016	2/14/2017	Major Misconduct- Violation of Amtrak Policy
<u>DC-16-0301-HL-O</u>	[REDACTED]	7/5/2016	12/11/2017	Major Misconduct- Other Crimes on Amtrak Facilities
<u>IL-16-0316-O</u>	[REDACTED]	7/20/2016	9/12/2017	Major Misconduct- Misuse of Amtrak Seal/Insignia/Emblem/Name/Acronym
<u>DC-16-0368-P</u>	Forensic Support Provided To Amtrak APD	8/24/2016	1/19/2017	Assisting Law Enforcement
<u>CA-16-0369-O</u>	[REDACTED]	8/25/2016	4/12/2017	FELA- False Medical Claims
<u>IL-16-0372-O</u>	[REDACTED] and Unidentified Crew	8/30/2016	4/11/2017	Major Misconduct- Drug Investigation; Major Misconduct- Misconduct Involving Serious Safety Issues (Speeding, Signal Violations; Drug Use; PED Use; Falsifying Safety Records; Failure to
<u>DC-16-0385-HL-P</u>	Members	9/16/2016	1/18/2017	Fraud
<u>CA-16-0409-O</u>	[REDACTED] 1	9/29/2016	8/3/2017	Major Misconduct- Theft/Embezzlement

<u>IL-17-0003-O</u>	Proactive: Theft of Amtrak Property and Equipment-Central and Southern Divisions	10/4/2016	10/31/2017	Major Misconduct- Theft/Embezzlement
<u>IL-17-0004-P</u>	Equipment - Special Positions	10/4/2016	8/10/2017	Major Misconduct- Kickbacks
<u>CA-17-0026-HL-P</u>	Special Positions	10/13/2016	4/10/2017	Major Misconduct- Violation of Amtrak Policy
<u>CA-17-0054-O</u>	-	11/9/2016	12/11/2017	Major Misconduct- Failure to Disclose Criminal History
<u>CA-17-0059-O</u>	-	11/16/2016	4/11/2017	Major Misconduct- Purchase Card Fraud
<u>PA-17-0064-HL-P</u>	-	11/21/2016	1/23/2017	Violation of Amtrak Policy
<u>IL-17-0070-HL-P</u>	-	11/30/2016	3/31/2017	Major Misconduct- Violation of Amtrak Policy
<u>DC-17-0085-HL-P</u>	APD - Cellular Devices	12/15/2016	2/7/2017	Computer Crime
<u>MA-17-0091-HL-O</u>	-	12/21/2016	8/29/2017	Contract and Procurement- Conflict of Interest; Major Misconduct- Other Crimes on Amtrak Facilities; Major Misconduct- Violation of Amtrak Policy
<u>IL-17-0095-P</u>	-	1/3/2017	4/7/2017	FELA- False Medical Claims
<u>PA-17-0115-HL-P</u>	Peebles Corporation	1/18/2017	2/9/2017	Contract and Procurement- Anti-Trust; Bid Rigging/Collusion
<u>PA-17-0121-P</u>	Mark 1 Restoration	1/24/2017	2/7/2017	Major Misconduct- Violation of Amtrak Policy
<u>DC-17-0128-O</u>	Company	2/1/2017	7/12/2017	Major Misconduct- Failure to Disclose Criminal History
<u>DC-17-0135-HL-P</u>	Amtrak Employee -	2/7/2017	4/5/2017	Major Misconduct- Violation of Amtrak Policy
<u>DC-17-0144-HL-P</u>	-	2/10/2017	10/2/2017	Major Misconduct- False T&A Records
<u>PA-17-0157-P</u>	-	2/23/2017	5/24/2017	Major Misconduct- Falsification/Forgery Related to Amtrak Documents
<u>IL-17-0158-P</u>	-	2/23/2017	4/7/2017	Major Misconduct- Benefit Fraud
<u>HO-17-0177-Q</u>	Alleged Whistleblower Retaliation, -	3/13/2017	5/1/2017	Major Misconduct- Retaliation
<u>IL-17-0187-HL-P</u>	Misuse - Vehicle	3/23/2017	6/29/2017	Major Misconduct- Violation of Amtrak Policy
<u>DC-17-0201-P</u>	Property - Illegal Drug Use on Amtrak	4/7/2017	10/17/2017	Major Misconduct- Drug Investigation
<u>DC-17-0205-P</u>	-	4/10/2017	11/27/2017	Major Misconduct- Violation of Amtrak Policy
<u>CA-17-0220-HL-P</u>	- Request Cash Loans	4/19/2017	9/25/2017	Major Misconduct- Violation of Amtrak Policy
<u>CA-17-0228-HL-P</u>	Talgo, Inc Trainsets	4/25/2017	10/18/2017	Contract and Procurement- False Claims
<u>PA-17-0231-HL-P</u>	-	4/26/2017	10/3/2017	Major Misconduct- Violation of Amtrak Policy

<u>PA-17-0232-HL-P</u>	[REDACTED] - Theft	4/26/2017	9/18/2017	Major Misconduct- Violation of Amtrak Policy
<u>MA-17-0235-HL-P</u>	Boston Lost and Found	4/27/2017	6/12/2017	Major Misconduct- Violation of Amtrak Policy
<u>CC-17-0252-HL-O</u>	eBay e-Voucher Sales	5/19/2017	12/19/2017	Major Misconduct- E-Voucher Fraud
<u>HO-17-0260-P</u>	Internal-17-0001	5/22/2017	6/15/2017	Major Misconduct- Non Criminal Complaint
<u>PA-17-0261-HL-P</u>	[REDACTED]	5/22/2017	9/28/2017	Major Misconduct- Non Criminal Complaint
<u>PA-17-0262-HL-P</u>	[REDACTED]	5/23/2017	8/21/2017	Major Misconduct- Violation of Amtrak Policy
<u>PA-17-0269-HL-O</u>	[REDACTED]	6/5/2017	11/28/2017	Contract and Procurement- Kickbacks
<u>CA-17-0279-HL-P</u>	Alleged Improper Hiring Practices - [REDACTED]	6/14/2017	8/3/2017	Hotline- Customer Complaint/Train Delay
<u>HO-17-0280-P</u>	Capital Search Group - [REDACTED]	6/15/2017	8/15/2017	Contract and Procurement- False Claims
<u>PA-17-0290-O</u>	[REDACTED]	6/27/2017	9/28/2017	Major Misconduct- GSA Fuel Card Fraud
<u>PA-17-0292-P</u>	ACCIDENT - AMTRAK TRAIN 175	6/28/2017	8/7/2017	Major Misconduct- Accident Related Investigation
<u>PA-17-0295-P</u>	Bear Maintenance Facility- R-22 Refrigerant Theft	7/5/2017	9/1/2017	Major Misconduct- Theft/Embezzlement
<u>CA-17-0318-HL-P</u>	Chambers Bay Derailment	7/19/2017	11/30/2017	Major Misconduct- Violation of Amtrak Policy
<u>CA-17-0324-HL-P</u>	Charges - Fraudulent C. Card	7/26/2017	9/12/2017	Major Misconduct- Violation of Amtrak Policy
<u>DC-17-0350-HL-P</u>	Amtrak Vehicles â€“ Mariner Point Park	8/22/2017	10/17/2017	Major Misconduct- Violation of Amtrak Policy
<u>PA-17-0352-O</u>	Asplundh Tree Expert Company	8/24/2017	12/5/2017	Contract and Procurement- False Claims
<u>PA-18-0063-HL-P</u>	[REDACTED] -	11/28/2017	12/7/2017	Major Misconduct- Violation of Amtrak Policy

Total Records: 95